UAB OFFICE OF SPONSORED PROGRAMS

UAB Data Use Agreement (DUA) Checklist

The **UAB DUA Checklist** is to be used when submitting DUA documents/requests. **Please submit the completed form along with any required attachments to the Office of Sponsored Programs (OSP).**

SUBMIT

General Information	Name	Email	Phone
Principal Investigator (PI)			
Primary Contact			
Regulatory/IRB Contact			
Provider/Recipient			
Provider/Recipient Contact			
Project Title			

1	**Are you Providing and/or Receiving?**
	☐ Providing ☐ Receiving ☐ Both Providing and Receiving

2	**Does the Data contain information collected from human research subjects?**
	☐ Yes ☐ No
	Do you have UAB IRB approval or determination (or have one pending)?
	☐ Yes ☐ No
	IRB Protocol Number:

3	**Does the Data contain any identifiers, individually identifiable health information or protected health information (PHI)? See:** Data Use Agreements Webpage
	☐ Yes ☐ No

4	**If the Recipient, how will you fund the research to be conducted with the Data?**
	If the Provider, how was the research funded that generated the Data?
	Provide the following, as applicable:

Agreement Sponsor	Grant/Contract Number	OSP Assigned Number

5	**Do you anticipate that any inventions or intellectual property will be developed from the use of the Data?**
	☐ Yes ☐ No
	If yes, by whom?

6	**Will the Data be used in conjunction with other research?**
	☐ Yes ☐ No
	If yes, what research?

7	**Do you anticipate receiving or transmitting any Confidential Information as part of the Data transfer?**
	☐ Yes ☐ No

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8	Does the Provider or funding source of the Data indicate any of following limitations or restrictions?
	☐ Prior Approval for Dissemination/Publication
	☐ Restrictions on Access or Participation by Foreign Nationals
	☐ Export Control Restrictions (EAR or ITAR)
	☐ Not Applicable

9	If UAB is the Provider, please attach a project description that details work to be done by Recipient with the Data. Click the button below to browse for file(s) to attach.

Browse/Attach

10	If UAB is the Receiver, please attach a project description that details work to be done by UAB with the Data. Click the button below to browse for file(s) to attach.

Browse/Attach

11	Please attach any DUA Agreement or other project related documents received. Click the button below to browse for file(s) to attach.

Browse/Attach

Comments

Should you have any questions, please contact your OSP Officer or osp@uab.edu.