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PI: Podlesny, Erin Eileen	Title: Synthesis of Bisanthraquinone Natural Product and BINOL-type Chiral Ligands				
Received: 08/11/2009	FOA: PA09-209	Council: 01/2010			
Competition ID: ADOBE-FORMS-A	FOA Title: Ruth L. Kirschstein National Research Service Awards for Individual Predoctoral Fellowships (F31) to Promote Diversity in Health-Related Research				
1 F31 GM093515-01	Dual: AT,CA,DK,MH	Accession Number: 3220080			
IPF: 6463801	Organization: UNIVERSITY OF PENNSYLVANIA				
Former Number:	Department: Chemistry				
IRG/SRG: ZRG1 IMST-D (29)L	AIDS: N	Expedited: N			
Subtotal Direct Costs (excludes consortium F&A)	Animals: N Humans: N Clinical Trial: N Current HS Code: 10 HESC: N	New Investigator: N Early Stage Investigator: N			
Senior/Key Personnel: Marisa Kozlowski	Organization: University of Pennsylvania	Role Category: Other (Specify)-Sponsor			
Erin Podlesny	University of Pennsylvania	PD/PI			

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APPLICATION FOR FEDERAL ASSISTANCE	2. DATE SUBMITTED Applicant Identifier	Applicant Identifier				
SF 424 (R&R)	08/11/2009					
1. * TYPE OF SUBMISSION	3. DATE RECEIVED BY STATE State Application Identifier					
Pre-application Application Changed/Corrected Application	4. Federal Identifier					
5. APPLICANT INFORMATION	* Organizational DUNS:					
* Legal Name: University of Pennsylvania	O. gamzanona 2 2					
Department: Chemistry Division:						
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Street2:						
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* State: PA: Pennsylvania	Province:					
* Country: USA: UNITED STATES	* ZIP / Postal Code: 19104-6205					
Person to be contacted on matters involving this application						
Prefix: * First Name: Pamela	Middle Name:					
* Last Name: Caudill	Suffix:					
* Phone Number: Fax Number:						
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6. * EMPLOYER IDENTIFICATION (EIN) or (TIN):						
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11.* DESCRIPTIVE TITLE OF APPLICANT'S PROJECT: Synthesis of Bisanthraquinone Natural Product and BINOL	time Chinal Liganda					
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N/A	* Start Date	;t				
15. PROJECT DIRECTOR/PRINCIPAL INVESTIGATOR CONTACT INFO	01/ 01/ 2010					
Prefix: * First Name: Erin	Middle Name:					
* Last Name: Podlesny	Suffix:					
Position/Title: Graduate Student						
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OMB Number: 4040-0001 Expiration Date: 04/30/2008

Project Summary/Abstract

Synthesis of Chiral Bisnaphthoquinones and Bisanthraquinones: Natural Product Synthesis and Utility in Asymmetric Catalysis

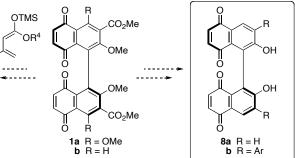
This project will result in total synthesis of a group of axially chiral bisanthraquinone natural products that has not been synthesized previously, providing material for biological study. The reported biological activity and physical properties of some of these compounds affects a variety of public health issues including treatment of cancer (suppression of tumor cell growth), diabetes, hepatitis, and depression. Also, antioxidant properties have been reported, which may have potential in protecting skin against the effects of UV radiation. Still, a great deal of information is lacking for the activity of many of these bisanthraquinones, citing a need for more biological studies. An efficient synthesis of these molecules is central to achieving these goals. Specifically, the generation of the compounds will be achieved via a concerted synthesis that diverges from the same chiral bisnaphthoquinone intermediate (1a). This versatile bisnaphthoquinone intermediate will be synthesized from a 2-naphthol via an enatioselective oxidative biaryl coupling reaction, followed by oxidation to 1. Tandem Diels-Alder/aromatization reactions between 1a and various vinyl ketene acetals (2) will provide the bisanthraquinone structure and subsequent transformations will afford natural products (3-7).

In addition, a new class of BINOL-type chiral ligands (**8a-b**) will be generated from a simpler bisnaphthoquinone precursor (**1b**). The synthesis of these ligands is important because they could positively impact asymmetric catalysis. Catalytic asymmetric synthesis is important to scientific research because it provides an important means of structural control in synthesis. The synthesis of a specific enantiomer or diastereomer is important in both pharmaceutical and materials chemistry because enantiomers of a particular therapeutic agent may have dissimilar biological activity or materials may have different properties. Following analysis of the stability of these molecules via NMR and HPLC, assessment of their effectiveness in asymmetric catalysis will be explored. Specifically these BINOL analogs will be compared to BINOL, H₈-BINOL, perfluoro-BINOL and other electron deficient BINOLs via comparison of yield and enantiomeric excess in a variety of known reactions. Other more hindered derivatives (extended from the quinone moiety) will also be synthesized and analyzed.

Bisnaphthoquinone natural products

OH O OH R² R¹ OH O OH R² OR³ OH O OH 3 R¹ = Me, R² = R³ = H skyrin 4 R¹ = OMe, R² = R³ = H bislunatin 5 R¹ = R² = R³ = Me trachypone 6 R¹ = OMe, R² = Me, R³ = H 7 R¹ = R² = R³ = H

BINOL analogs



Project Narrative

The synthesis of bisanthraquinone natural products is relevant to public health because their biological activity may impact a variety of health issues, including treatment of cancer, diabetes, hepatitis, depression, and use as an antioxidant. An efficient synthesis of these molecules is central to a complete analysis of their activity. In addition, a new class of chiral BINOL analogs may positively impact asymmetric catalysis, which is an important method in pharmaceutical chemistry and development of therapeutic agents for treatment of disease.

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References Cited Page 9

Facilities and Other Resources:

Room 4002 of the Vagelos Laboratory serves as the office of the principal investigator.

The principal investigator's laboratory consists of 3 four-person laboratories (10 hoods) in the Vagelos Laboratory outfitted with standard organic laboratory glassware, vacuum systems, etc., an instrument room, and a computer room. A computer controlled Agilent HP-6850 GC with 8-turret autosampler and a computer controlled Agilent HP HPLC with autosampler capable of analytical separations. A 12 year old double station Vacuum Atmospheres He-453 Glove Box is also present.

Within the research group, three computers are dedicated to theoretical calculations: a SGI Octane (Unix, R10,000) and two Pentium IV PCs (WinXP Pro, 1GB, 300GB-HD). Available software includes MacroModel, Chem3D, ViewerPro, Spartan, DivCon, Jaguar, Gaussian98, GaussView, FUNMAP, CAVEAT, QM-QSAR, G-QSAR, and MAPLE as well as a variety of standard commercial math libraries (IMSL, NAG, NR), databases, and compilers to support our program development efforts.

For more time consuming calculations (HF, B3LYP, MP2, large QSAR runs, etc.) two additional resources are available: (1) University of Pennsylvania Chemistry Department Computer Facility with several SGI machines including a 4 processor SGI Origin 200; (2) a 64 node with 2 CPU/node Beowulf Linux Cluster in the Department of Chemistry at the University of Pennsylvania (purchased with a multi-PI NSF CRIF grant).

Facilities Page 10

Equipment:

The central spectroscopic facilities of the Chemistry Department are available for this project. Mass spectral facilities: two high resolution spectrometers (VG 70/70 Micromass capable of ESI or CI), a walk-on Micromass Platform LC/MS in low resolution electrospray mode, and a walk-on Agilent GC/MS. NMR facilities: 9 Bruker Fourier transform NMR spectrometers (one 200-MHz, one 250-MHz, one 300-MHz, one 360-MHz, four 500-MHz, one 600-MHz) and three dedicated SGI (O2 or IRIX) work stations for advanced processing. X-ray facility: Rigaku Mercury CCD area detector system equipped with X-stream 2000 low temperature device. Software includes Rigaku/MSC CrystalClear package for area detector data processing and Rigaku/MSC CrystalStructure package for structure solution and refinement.

Other research groups in the department have provided the part-time use of a Perkin-Elmer FTIR (G. Molander), a UV/Vis spectrophotometer (F. Gai), a Perkin-Elmer polarimeter (G. Molander), and a Kugelrohr distillation apparatus (J. Winkler).

Equipment Page 11

Section II--Sponsor and Co-Sponsor Information:

1. Research Support Available

KOZLOWSKI, M. C.

ADC = annual direct costs

ATC = annual total costs

TDC = total direct costs (provided for instrumentation grants)

TC = total costs (provided for instrumentation grants)

ACTIVE

GOALI (Molander) 5/1/09 - 4/30/12 1.00 calendar

R56 CA109164 (Kozlowski) 7/1/09 - 6/30/10 1.50 calendar

CHE-0911713 (PI Kozlowski) 9/1/09 - 8/31/12 1.00 calendar

47616-AC1 (Kozlowski) 1/1/08 – 8/30/10 0.50 calendar

CHE-0616885 (Kozlowski) 9/1/06 - 8/31/09 1.00 calendar

Sponsor's/Co-Sponsor's Previous Fellows/Trainees

Total previous predoctoral: 18 Total previous postdoctoral: 13

Representative trainees:

Erin DiMauro, predoctoral, B.A. Wesleyan Univ., Amgen, Research Scientist Stephen Waters, predoctoral, B.S. Univ. Pittsburgh, postdoctoral with Sam Danishefsky at Sloan-Kettering, University of Vermont, Assistant Professor

V. Annamalai, predoctoral, B.S. Georgia Inst. Technology, postdoctoral with Laura Kiessling at Univ. Wisconsin

Michael Fennie, predoctoral, B.S. Canisus College, Sanofi-Aventis, Research Scientist Barbara Jane Morgan, predoctoral, B.S. Kenyon College, postdoctoral at the Broad Institute

Bishwajit Ganguly, postdoctoral, Ph.D. Inst. of Science Bangalore, Central Salt and Marine Chemical Research Inst. India, Scientist E-1

3. Training Plan, Environment, Research Facilities

- *i) Ph.D. Candidacy Requirements:* Ms. Podlesney has completed all the requirements for PhD candidacy at Penn including coursework, cumulative exams, and two semesters of teaching. Coursework consisted of organic chemistry mechanisms, organic synthesis, organometallic chemistry, heterocyclic chemistry, bioorganic chemistry, physical organic chemistry, organic materials chemistry, and chemistry literature/database searching.
- *ii) Meetings and Interactions:* Joint group meetings between the Walsh (Organometallic Chemistry) and Kozlowski (Organic and Bioorganic Chemistry) groups are held weekly. After analyzing problems from the literature, one group member provides a formal presentation of their research from the last 6-9 months. Group discussion following the presentation provides a critique into the approaches taken and suggestions for further study. In conjunction, a written report is required, detailing the research from this time period. The reports are written in thesis format at a level of writing that would be appropriate for publication. Reports of this nature allow improvement of writing skills, self-evaluation of progress in the lab, and maintenance of satisfactory experimental records.

In addition, weekly Kozlowski group meetings will take place to review progress in the laboratory, troubleshoot problems, and identify new directions. As the total synthesis work draws to close, more time will be devoted to identifying the biological target(s) by meeting with collaborators in the Penn Medical School to develop further experiments. As the work on the chiral bisnaphthoquinones ramps up, opportunities for their use in materials chemistry will be explored via meetings with collaborators in Chemistry and the Laboratory for Research on the Structure of Matter (So-Jung Park, Andrew Feiring, Cheri Kagan).

iii) Seminars and Conferences: The University of Pennsylvania is at the hub of a vibrant region for science and research presenting an excellent environment for scientific discourse and numerous opportunities for continuing professional development. The Department of Chemistry alone maintains weekly seminar programs in four areas: Organic, Biological, Physical, and Inorganic Chemistry. In addition to academic speakers, speakers are drawn from local companies: Merck, Glaxo SmithKline, Wyeth, Bristol Myers Squibb, Aventis, Hoffmann LaRoche, etc., providing attendees with an appreciation of current biomedical topics of interest. Named and interdisciplinary lectureships from leaders in the field are held several times during the year. Students are also encouraged to attended seminars in their field outside of the Department Chemistry held at the Medical School, Wistar Institute, Laboratory for Research on the Structure of Matter, etc.

Ms. Podlesny is also a participant in the Chemical Biology Interface (CBI) program between the Department of Chemistry, the Department of Biology, and the Penn Medical School Biomedical Graduate Studies. The goal of the CBI training program is to provide students with the intellectual and technical skills required to solve important and complex biological problems that can be most effectively addressed by studies at the chemistry-biology interface and to create a group of graduating chemists and biologists who can effectively communicate and function successfully in multidisciplinary teams. The focus of the CBI training program will be mechanistic chemistry of proteins, which

is a theme that is of special interest to us with respect to the bisanthraquinone natural products. The CBI program includes coursework, monthly talks, and a twice annual mini-symposium centering on bioorganic chemistry. Even though Ms. Podlesney has completed the coursework required for the Ph.D. degree, she will take further courses in chemical biology, cell biology, and biochemistry to broaden her training and to be able to exploit the utility of her natural products.

Ms. Podlesney will attend national ACS meetings and NOS meetings on an annual basis to provide exposure to the broader scientific community. A further opportunity for professional development will be attendance of a Gordon Research Conference relative to her research interests in her fourth or fifth year to provide networking and prepare her for career after the Ph.D. degree. Finally, if her research moves into a specialized area (i.e. photodynamic therapy), then she will attend conferences or workshops in that area to gain further background and expertise.

iv) Research Environment and Facilities: The research community at Penn is vibrant. In Chemistry alone, there are ~190 doctoral students, ~70 postdoctoral fellows, and ~10 full-time scientific staff to support the ongoing research efforts. Approximately ~30 Ph.D. students graduate each year leading the School of Arts in Sciences at Penn. These students go on to high-profile postdoctoral, industrial, and academic positions. In addition, opportunities for interaction in the fields of biology and medicine abound with the School of Medicine, the Abramson Cancer Center, the Presbyterian Medical Center, and the Wistar Institute all located within a few blocks of the Chemistry department. For the chiral bisnapthoquinones as organic materials, the strong ties to the Laboratory for Research on the Structure of Matter, Chemical Engineering, Materials Science, and the Penn Regional Nanotechnology Facility are in place.

The research facilities at Penn for this work include the standard facilities in Chemistry as well significant additional resources as conditions warrant from the institutes and centers listed above. The Chemistry facilities undergo constant renewal with recent instrumentation grants having updated the NMR, X-ray, and MS facilities. The Chemistry complex is comprised of four buildings with older facilities being renovated on a rotating basis. Our laboratory space is located in the 11-year old Vagelos Laboratories.

- v) Additional Required Training or Technical Skills: Training in departmental instrumentation will continue to be provided by Dr. George Furst (Director, NMR Services), Dr. Rakesh Kohli (Director, MS Services), and Dr. Patrick Carroll (Director, Crystallographic Facility). Under the tutelage of Dr. Steven Johnson (University of Pennsylvania Medical School, Department of Hematology/Oncology), all of the necessary equipment and training for cancer cell assays is available. Should these assays prove encouraging, then Theresa Busch (University of Pennsylvania Medical School, Department of Radiation Oncology) will provide further training and guidance in testing of the photodynamic therapeutic properties of the bisanthraquinone natural products including clonogenic cell assays, animal toxicity studies, and animal tumor model studies. Both these collaborations are firmly established with our ongoing work using the perylenequinone natural products (J. Am. Chem. Soc. 2009, 131, 9413–9425).
- *vi) Applicant's Career Goals:* With strong interests in organic synthesis and a desire to pursue a career in basic research, an individual development plan will be devised and examined periodically with Ms. Podlesney. The current version combines strong training in the core area of organic synthesis combined with interdisciplinary training in biological chemistry and/or materials science. Regardless of the precise direction the research develops, Ms. Podlesney will emerge with the skills to solve complex chemical problems in a team environment.

4. Number of Fellows/Trainees to be Supervised During the Fellowship

Total predoctoral: 8 Total postdoctoral: 2

5. Applicant's Qualifications and Potential for a Research Career

Ms. Podlesny received her BS in Chemistry from Gettysburg College.

She was an undergraduate researcher in two laboratories, one at Gettysburg and one at the University of Virginia.

This assessment is in line with her performance here at Penn has gotten off to an impressive start in her first year in the laboratory. For example, in Amos Smith's total synthesis class she was assigned one of the axially chiral bisanthraquinone synthetic targets that my group was considering (she is now moving forward with this synthesis following one of the plans she designed). For the class, two synthesis proposals were required, one utilizing enantioselective induction and one starting from commercially available chiral materials. While the first synthesis could be devised using our catalytic asymmetric oxidative naphthol coupling, the second was very challenging since axially chiral compounds are not abundant precursors (i.e. available from commercial sources at less than \$1 per gram). She came up with a synthesis commencing from BINOL that was both clever and insightful. Prof. Amos Smith, Prof. Patrick Walsh, and I all commented on how innovative her proposal was as we had never seen any synthesis starting from BINOL, which is now relatively inexpensive (~35 cents per gram).

In her one year in my laboratory, Ms. Podlesny has made excellent progress in her project on the bisnapthoquinones and the corresponding bisanthraquinone natural products.

she has been the most productive among the four students from her class in my group.

My philosophy toward student training is to provide a preliminary plan with missing details and important concepts. Ms. Podlesny has shown that she can manage such input and she has significantly developed the very preliminary project outline that I gave her. She has identified further natural products, developed completely new synthetic routes, and tackled significant difficulties in implementation of the research plan. Already, she is growing into the role of an interesting and innovative coworker vs a novice student. As she continues to mature, I will challenge her to develop new projects and new ideas based both on her current work and her developing interests via one-on-one meetings as well as group discussions. I find that this method may result in slower research progress initially since I do not lay out every experiment. However, the ultimate result is a well-developed, confident student who can identify important problems and develop plans for experimental implementation fully independently. Activities to foster this development will include writing manuscripts, identifying and researching new projects for younger coworkers and grant applications, supervising undergraduate students and junior graduate students, reviewing important literature topics for the group, and ultimately writing research proposal sections.

At this stage in her development, Ms. Podlesny compares to the best students that have come out of my lab. I am excited to work with her further to complete her development as an independent scientist.

Specific Aims

Specific Aim 1: Synthesis and Utility of Chiral Bisnaphthoquinones for Asymmetric Catalysis

The synthesis of chiral bisnaphthoquinones **1** will be explored (Scheme 1) with the purpose of generating a set of potentially useful BINOL analogs (**2a-2b**). These BINOL analogs will then be analyzed in the context of asymmetric catalysis. They will be compared to the parent BINOL ligand, H₈-BINOL, perfluoro-BINOL and other electron deficient BINOLs.

Scheme 1. BINOL Derivatives.

Specific Aim 2: Synthesis of Chiral Bisanthraquinone Natural Products

Five chiral bisanthraquinone natural products **4-8** will be synthesized via a concerted route from the same bisnaphthoquinone intermediate **3** (Scheme 2). Divergence from this common intermediary will occur via Diels-Alder reactions between the bisnaphthoquinone and various vinyl ketene acetals (**10-11**), affording the desired bisanthraquinones. The synthesis of the less complex 5,5'-bisoranjidiol **9** will follow a shorter but parallel route, from a simpler bisnaphthoquinone (**1**, Scheme 1).

Scheme 2. Bisanthraquinone Natural Products.

Specific Aims Page 29

Background and Significance

Chiral Bisnapthoquinones (Specific Aim 1)

The synthesis of a novel set of chiral bisnaphthoquinones for use as ligands is significant because they have the potential to positively impact asymmetric catalysis. Catalytic asymmetric synthesis is important to scientific research because it provides an important means of structural control in synthesis. The synthesis of a specific enantiomer or diastereomer is important in both pharmaceutical and materials chemistry because enantiomers of a particular therapeutic agent may have dissimilar biological activity or materials may have different properties.

One ligand regularly employed in a variety of enantioselective reactions is the axially chiral ligand BINOL or 1'-bi-2-binaphthol (12, Scheme 3). To date many BINOL derivatives have been synthesized, which offer improvements in yield or selectivity over BINOL for many reactions. A majority of these ligands possess a 3,3'-disubstituted scaffold with sterically influential groups at these positions, while another category of BINOL ligands have 6,6'-disubstituted frameworks. The bisnaphthoquinones 1 are not only key intermediates to the bisanthraquinone natural products (see Specifc Aim 2, Scheme 2), but they may also lead to potentially useful BINOL derivatives (2a-2b, 13-14, Scheme 3) for asymmetric catalysis. These novel BINOL analogs would have electron withdrawing groups (*para*-quinone) and the core bisnaphthoquinone structure would enable facile production of derivatives at the 3,3'- positions or augmentation through the quinone moiety.

Scheme 3. Bisnaphthoguinones as BINOL Analogs.

Chiral Bisanthraquinones (Specific Aim 2)

The symmetrical bisanthraquinones are a class of biaryl natural products possessing axial chirality (Scheme 2). Members include bisoranjidiol (9),² skyrin (4),³ bislunatin (5),⁴ trachypone (6),⁵ and 7 and 8.⁶ The biological activity and physical properties that have been reported for some of these compounds encompass a wide spectrum: applications in tumor suppression,⁷ diabetes,⁸ hepatitis,⁹ depression,¹⁰ as well as possession of photosensitizing¹¹ and antioxidant properties.¹² An efficient synthesis of these molecules is important because it would not only provide significant quantities of all of the bisanthraquinone natural products for biological testing, but also make the synthesis and testing of analogs, or unnatural enantiomers possible.

Bisoranjidiol (**9**, Scheme 2) is the most recently isolated (2006) of these bisanthraquinones and is notably lacking the hydroxyl at the 4,4'- positions. The dimer is a prominent metabolite found in the leaves of *Heterophyllaea pustula* (genera Rubiaceae). This is a toxic shrub which grows in the mountains of Argentina and Bolivia.² Due its phototoxicity, animals eating the shrub tend to suffer from photosensitization, expressed as dermatitis and/or blindness. Bisoranjidiol has been shown to possess photosensitizing properties. Specifically, it can interact with molecular oxygen to produce electronically excited singlet oxygen ($^{1}O_{2}$) as well as the ground state radical anion (O_{2} . $^{-}$). Singlet oxygen is a very reactive species which can induce DNA damage and apoptosis of cells. This is the basis of photodynamic therapy (PDT), which is a cancer treatment that uses light to activate a photosensitizing agent to produce reactive oxygen species that cause cell death. ¹³

Skyrin (**4**, Scheme 2) is the oldest and most studied of these bisanthraquinones. It was first isolated from *penicillium islandicum* in 1954³ and has since been found in a variety of other fungi and lichen. The interest in the molecule stems from its biological activity, as this emodin dimer is reported to suppress the growth of HeLa, Vero, K562, Raji, Wish, and Calu-1 and other tumor cell lines.⁷ A variety of other biological activities and physical properties have also been observed. For example, skyrin has been reported to interfere with the coupling of glucagon to adenylate cyclase independent of binding to the glucagon receptor. This could be useful for the treatment of diabetes.⁸ Recently, the antioxidant and radical scavaging ability of skyrin has

also been studied. It was reported that the antioxidant behavior is similar to that of emodin (skyrin monomer) and comparable to vitamin C and E. These properties make skyrin useful, with possible applications in protecting skin against the effects of UV radiation. 12

Of the bisanthraquinones in Scheme 2, only prior syntheses of skyrin exist. This biomimetic synthesis of skyrin was achieved by the chemical oxidation of either emodin or emodinanthrone in meger 0.28% and 1.2% yields, respectively. Two decades later, a fully methylated derivative was synthesized via an Ullman reaction of the brominated emodin. However, there is still much room for improvement as these syntheses are both inefficient and nonstereoselective. To date, no efficient nor asymmetric syntheses of these bisanthraquinones have been achieved. Also, while a variety of biological activities and physical properties have been reported, most of the focus has been on skyrin. The bisanthraquinones are all structurally related and potentially possess similar biological activity and properties. Information of this type is lacking for many of the other bisanthraquinones, citing a need for more biological studies. An efficient synthesis of these molecules is central to achieving these goals.

Retrosynthetic Analysis: Bisanthraguinones

From a retrosynthetic standpoint there are three key disconnections to form the bisanthraquinones (Scheme 4). In a departure from prior approaches, the anthracenyl ring system will be generated in my work after dimerization. Thus, the first disconnection is the Diels-Alder/aromatization reaction between a bisnaphthoquinone intermediate and the desired vinylketene acetal. Alkyl trimethylsilyl- or bis(trimethylsilyl)vinyl ketene acetals, also referred to as Brassard dienes, are typically employed though simpler dienes, such as Danishefsky's diene, have also been used. The bisnaphthoquinone could be formed via oxidation of a 8,8'-dihydroxy bisnaphthol. Finally, an enantioselective biaryl coupling reaction leads to the biaryl.

Scheme 4. Retrosynthesis.

Asymmetric Naphthol Coupling

The enantioselective oxidative coupling of naphthols to form the core binaphthol system is a key transformation for the formation of the bisanthraquinones and bisnaphthoquinones (see Scheme 4). This method of oxidative C-C bond formation was developed by the Kozlowski group^{18, 19} and utilizes a diaza-*cis*-decalin copper catalyst (**15**, Scheme 5). This diamine copper catalyst can be used to couple a variety of functionalized 2-naphthols with C3 substitution. The best yields and enantioselectivities were observed with esters or phosphates at C3 (**16a-d**), attaining up to 85% yield and 93% ee (Scheme 5). Furthermore, highly functionalized substrates could also be coupled (**16e-g**).

Scheme 5. Enantioselective Oxidative Biaryl Coupling.

_	17	R ¹	R ²	R ³	R ⁴	R ⁵	yield (%)	ee (%)
_	а	CO ₂ Me	Н	Н	Н	Н	85	91-93
	b	CO ₂ Bn	Н	Н	Н	Н	79	90
	С	CO ₂ n-Hx	Н	Н	Н	Н	70	87
	d	PO(OMe) ₂	Н	Н	Н	Н	76	92
	е	CO ₂ Me	OAc	Н	OMe	OMe	72	≥ 90
	f	CO ₂ Me	OAc	Н	OMe	<i>n</i> -Pr	85	90
	g	CO ₂ Me	OAc	Н	OMe	I	80	> 85

Preliminary Studies

Preliminary studies for this proposal have focused on the synthesis of a simple bisnaphthoquinone and investigations into the Diels-Alder reactions needed to form the bisanthraquinones. All preliminary research discussed in this section, except for the initial precedent of enantioselective coupling of 8-substituted 2-naphthols (Scheme 6), was accomplished by myself (fellowship applicant). All of these results are unpublished.

Specific Aims 1-2: Establishment of precedent for biaryl coupling and quinone formation

The enantioselective coupling with diaza-cis-decalin copper catalyst (R,R or S,S-15) is a proven method for the oxidative coupling of functionalized 2-naphthols, however, naphthols substituted at the C8 position had not been studied previously. A few years ago the Kozlowski group established precedent for the coupling of a simple naphthol with a methoxy moiety at the C8 position (Scheme 6). Using (R,R)-R0-R1, high enantioselectivity (90% ee) and moderate yield (59%) of bisnaphthol (R1-R3) was obtained.

Scheme 6. Precedent for Biaryl Coupling of C8 Substituted Naphthols

I then began to investigate the synthesis of the bisnaphthoquinones. The racemate of **19** was synthesized in three steps from commercially available hydroxy naphthol **20** in 60% yield (Scheme 7). Subsequent deprotection of the 8,8' methoxy substituents lead to formation of tetrol **21** in 49% yield after esterification. Oxidation of **21** with salcomine (**23**) produced bisnaphthoquinone **22** in moderate yield (55%), with 19% of an unsymmetrical *ortho/para*-bisnaphthoquinone also forming.

Scheme 7. Synthesis of Bisnaphthoquinones Route 1.

Due to the inefficiency (incomplete reaction, hydrolysis, and solubility difficulties) of the demethylation step from 19 to 21 (Scheme 7), a new protecting group (benzyl) was chosen for the C8 phenol (Scheme 8). Starting from 7, esterification and selective benzylation provided naphthol 24 in good yield (72%, 2 steps). The use of CuCl(OH)TMEDA provided the racemate 25 in high yield (91%). When the (R,R) or (S,S)-diaza-cis-decalin copper catalyst (15) was used (S) or (R)-25 were produced, respectively, in moderate yield, but with high selectivity (95-97% ee, Scheme 8). The lower yields could be attributed to increased steric hinderance from the C8 substituent. Subsequent methylation, hydrogenation, and oxidation lead to (R)-26 in good yields, while retaining the enantiomeric excess (Scheme 8).

Scheme 8. Synthesis of Bisnaphthoquinones Route 2.

Specific Aim 1: Precedent for regiocontrol of Diels-Alder reaction

After preliminary Diels-Alder reactions with Danishefsky's diene showed that a bisanthraquinone could be formed under thermal conditions, control of the regiochemistry of the Diels-Alder reaction via Lewis acid catalysis was examined (Scheme 9). When the Diels-Alder reaction between 26 and 10a was conducted in the presence of two equivalents of ZnCl₂, followed by exposure to silica and air, three bisanthraquinone isomers were isolated (Scheme 9). Two of these regioisomers are symmetrical (27 and 29) and the third unsymmetrical (28). When the Diels-Alder reaction between 26 and 10a was conducted in the presence of two equivalents ZnCl₂ (Scheme 9) the ratio of isomers observed was 4:3:1 of 27:28:29. However, when four equivalents were used, this ratio shifted towards the desired regioisomer in a ratio of <0.2:1:4.5 of 27:28:29 (Scheme 9).

Scheme 9. Control of Regioselectivity with Zinc Chloride.

Research Design and Methods

The synthetic transformations proposed in Specific Aims 1 and 2 will all be conducted and optimized first on racemic material before using enantiopure samples. The enantiomeric excess will be determined via chiral high-performance liquid chromatography (HPLC). The initial focus of this research will be the synthesis of the bisanthraquinone natural products. Generation of bisoranjidiol 9 will be explored first, as it required less steps and is structurally less complex than natural products 4-8. Concurrently, the simplest chiral bisanthraquinone BINOL analog could also be synthesized (Specific Aim 1), as the same intermediate is used to generate bisoranjidiol. Once bisoranjidiol has been synthesized, focus will shift to the five other bisanthraquinones. Knowledge gained from the synthesis of bisoranjidiol will prove useful for this route. Once all of the bisanthraquinones have been synthesized, accomplishing the objectives of Specific Aim 2, the focus will be placed on finishing the goals of Specific Aim 1.

Specific Aim 1: Synthesis of Chiral Bisnaphthoquinones

In preliminary studies, two different bisnaphthoquinones have already been successfully synthesized (Schemes 7, 8). From these versatile substrates, a variety of chiral BINOL analogs can be generated possessing either a disubstituted 3,3'-disubstituted skeleton with sterically influential groups at these positions, or extension through the quinone moiety. The simplest analog is **2a**, which lacks functionality at 3,3'. It could be generated from precursor **1** via decarboxylation (Scheme 10). Once this ligand is obtained, the chemical stability and atropisomerism of the molecule will need to be assessed. The effects of acidic, basic, oxidative, reductive, and thermal conditions will be examined via NMR and HPLC. Metal complexes of the bisnaphthoquinone with titanium **30a** and zirconium **30b** will also be formed and characterized (Scheme 10).

Scheme 10. Generation of Chiral Bisnaphthoquinones and Metal Complexes.

After this point, the performance of these molecules as ligands will be ascertained by direct comparison with other BINOL analogs possessing electron-withdrawing groups as shown in Scheme 11. Specifically, the yield and enantiometric excess with bisnaphthoquinone **2a** as a ligand will be compared to BINOL analogs in three different reported reactions employing zirconium (a, aldol-type reaction),²⁰ titanium (b, 1,3-dipolar cycloaddition),²¹ and hydrogen bonding catalysts (c, allylboration).²²

Scheme 11. Test reactions for Comparison with BINOL Analogs.

Many other BINOL analogs can be generated from bisnaphthoquinone **2**. For example, analogs with sterically influential groups near the metal center such as **2b**, or BINOLs extended through the quinone moiety via Diels-Alder reactions (**31**) or ketalization (**33**, Scheme 12). Compound **33** could be more hindered than H₈-BINOL (**32**). The utility of these novel ligands would also be compared against BINOL in the same manner.

Other uses of this type of chiral molecule will also be explored, such as its use together with air as a chiral catalytic oxidant for dearomatization reactions.

Scheme 12. Extended BINOLs.

Specific Aim 2: Synthesis of Chiral Bisanthraguinones

Retrosynthetic analysis of bisanthraquinones **4-9** (Scheme 2) reveals three key synthetic transformations (Scheme 4). These are 1) Diels-Alder/aromatization reaction between a bisnaphthoquinone intermediate and vinylketene acetal, 2) oxidation of a 8,8'-dihydroxy substituted bisnaphthol to selectively form the *para*-bisnaphthoquinone, and 3) the enantioselective biaryl coupling reaction to form the bisnaphthol. While bisanthraquinones **4-9** (Scheme 2) all share these key steps to their synthesis, there exists two structural differences between bisoranjidiol **9** and the other bisanthraquinones **4-8**, requiring different synthetic routes and approaches. These routes will be discussed separately. The first architectural difference is that bisoranjidiol (**9**) lacks the 4,4'-hydroxy functionality shared by all bisanthraquinones **4-8**. The higher degree of functionality required of the coupling precursor for **4-8** would necessitate formation of the naphthol ring system via synthetic means. The desired naphthol for **9**, however, can be easily generated from a commercially available naphthol (**20**, Scheme 8). The second difference is with the left-hand rings of the bisanthraquinones. Compounds **4-8** share substitution at 5,5' and 7,7', while **9** has an *ortho* relationship (5,5' and 6,6').

Synthesis of 5,5'-bisoranjidiol

The proposed synthesis of bisoranjidiol **9** takes advantage of efforts already made in the synthesis of chiral bisnaphthoquinones, discussed in Specific Aim 1. Specifically the bisanthraquinone framework could be accessed directly from bisnaphthoquinone **26** through a Diels-Alder reaction of diene **11** (Scheme 13). This would be followed by acid formation and decarboxylation to give compound **9**.

Scheme 13. Synthesis of 5,5'-Bisoranjidiol.

Synthesis of 4,4'-substituted bisanthraguinones

Naphthols, with a hydroxyl at C4, are a necessary intermediate for the synthesis of natural products **4-8** (Scheme 2). This hydroxyl could be generated from the appropriate phenylacetic acid through a four step sequence. Functional group tolerance is limited for this sequence. A benzyl protected phenol, which coincides with a benzyloxy group at the C8 position of the resulting naphthol is not stable to these conditions. A methoxy group is stable, however, due to the potential difficulties of deprotection of the 8,8' phenols of the biaryl species as was experienced with **19** (Scheme 7), this route was not pursued further. Halogens are stable to the cyclization conditions and 2-chlorophenylacetic acid (**34**) could be used as a starting point (Scheme 14). Once the bisacetate compound **35** has been successfully synthesized, a selective deprotection of the 2-hydroxyl with base generates the desired coupling precursor. Enantioselective naphthol coupling would give the biaryl

system **37**. After protection of the 2,2'- and 4,4'-hydroxyls as methyl ethers, a Buchwald-Hartwig palladium catalyzed cross-coupling could be used to directly install the oxygen functionality at 8,8'.^{23, 24} Salcomine oxidation should proceed similarly as with **26**, likely forming both the desired *para/para*-bisnaphthoquinone and the *ortho/para*-bisnaphthoquinone. From this versatile intermediate **40**, reaction with the desired ketene acetal should give the bisanthraquinone. Subsequent protecting group manipulations followed by either reduction of the ester groups to methyls or conversion to acids and decarboxylation would quickly provide five natural products. If regioselection is modest for the Diels-Alder reaction, another means of controlling the regioselectivity is via an "internal Lewis Acid," namely, H-bonding between the 4,4'-hydroxyl and the 5,5'-carbonyls effectively act as Lewis Acids (**43**, Scheme 15). Due to inherent difficulties of conducting two Diels-Alder/aromatization reactions on the same molecule, optimization of yield, and Lewis acid employed for control of regioselection will be conducted on a model system (Scheme 16). This also easily allows for comparison of substrates containing unprotected phenols (**44a**) with their fully protected counterparts (**44b**).

Scheme 14. Synthesis of Highly Functionalized Naphthols.

Scheme 15. "Internal Lewis Acid."

Scheme 16. Model system for Diels-Alder reaction

Summary

This project will result in total synthesis of a group of natural products that has not been synthesized previously, resulting in material for biological study. This aspect of the project is expected to take 1.5 years. In addition, a new class of BINOL-type chiral ligands will be generated. Examination of the many possibilities of these compounds will be the focus of the remainder of the fellowship period.

Respective Contributions

The research training plan submitted for this application was written solely by myself (the applicant) then reviewed by my sponsor for editing purposes and suggestions. While the core idea behind this plan was not original, I have modified and expanded the plan to its present form. This was accomplished following results and observations from the preliminary research that I have already conducted. These modifications were both original as well as the result of discussions and suggestions received during our weekly group meetings. To illustrate this, I for example, have extended the project's natural product targets to include 5-5'-bisoranjidiol, I have modified the route for accessing the five complex bisanthraquinone natural products to include a palladium catalyzed coupling reaction, proposed an alternate and shorter route for accessing the bisnaphthoquinones (incorporation of a C8 benzyl protected naphthol). I expect to accomplish the proposed research independently while under the supervision of my sponsor. My sponsor will provide guidance and suggestions for the research.

Selection of Sponsor and Institution

I chose the University of Pennsylvania for my graduate education because the chemistry department has a very good reputation. In particular the chemistry department has a very good program for training in organic synthesis. This is what I'm interested in. Besides its respected reputation, which can play an influential factor in my career, I thought the University of Pennsylvania (Penn) offered more diverse and interesting opportunities in chemical research than any of the other institutions I had considered. As such, there were several professors at Penn I was interested in working for. In making my decision, I established a set of criteria for my education and research training. These goals are as follows:

- 1. As I am very interested in organic synthesis, I wanted a group that was interested in some synthetic projects.
- 2. I have always held an interest in the interface between chemistry and biology. I wanted to have an opportunity to work on a project with this multidisciplinary aspect, while still maintaining my focus in organic chemistry. I was interested in this because the research could have the potential to impact medicine and public health through therapeutics or otherwise.
- 3. I also wanted the opportunity to diversify and gain perspective in areas other than just total synthesis, such as methodology or biological studies.

These goals were a major factor in my decision to choose Dr. Marisa Kozlowski as my dissertation advisor and sponsor for this application. I feel she is my best opportunity to accomplish these goals and those specific aims outlined in the research training plan. To briefly illustrate, the research in her group is quite diversified with much of it focused on the development of new methods. These methods are then applied to the synthesis of natural products and derivatives with interesting biomedical implications. Upon completion of the synthesis, analysis was taken one step further to biological testing. A good example of this is the perylenequinone natural products. Using our methodology these molecules were synthesized by our group and the inhibitory effects on protein kinase C (PKC) were also assessed.

Responsible Conduct of Research

Upon entering graduate school, instruction was received in the Responsible Conduct of Research during orientation week. A student handbook on ethics and original research was given to all graduate students, and the contents of which were discussed. Topics included academic integrity, plagiarism, paraphrasing, proper citation of sources, etc. Also, as part of our Chemical Information course taken the second semester of our first year, we discussed several ethics case studies taken from *On Being a Scientist: Responsible Conduct in Research*, Washington, DC: National Academy Press, 1995. As a course requirement, we also completed several online bioethics training modules provided on the website of the Vice Provost for Research at the University of Pennsylvania. Topics included keeping a laboratory notebook (ownership of data and intellectual property), authorship, collaborative science, misconduct in science (including Penn's procedures regarding misconduct), conflicts of interest, and peer review materials. These modules involved presentations/lectures and quizzes. They were also discussed in class.

If this is found to be unacceptable for NIH staff, then further electronic training in the Responsible Conduct of Research will be pursued. The University of Pennsylvania maintains an online website where training can be received in these areas:

- Data acquisition, management, sharing, and ownership
- Materials, their ownership, and material transfer agreements
- Intellectual property, copyrights, patents, licenses, and technology transfer
- Authorship and publication practices
- Peer review
- Mentor/trainee responsibilities, and collaborative science
- Human subjects
- Research involving animals
- Environmental safety: radiation, chemicals, and microbial agents
- Research misconduct
- Conflict of interest
- Preparing grant proposals
- Research administration: financial and personnel management

"Each rubric includes Penn policies, lectures, guidelines, interactive quizzes, Federal policies, and hyperlinks to a few selected websites at other institutions. In the aggregate, these materials provide a systematic introduction to the fundamental issues underlying the Responsible Conduct of Research. Many Penn programs supplement these materials with small group discussions of the subjects listed above. Finally, each School or Department maintains a database that can be used to track all trainees and insure that each of them has completed an appropriate discipline-specific Responsible Conduct of Research instruction and certification program."

Goals for Fellowship Training and Career

Beyond graduate school I plan to take a postdoctoral position at another university before pursuing a career in academia. One reason why I'm aspiring to work in academia is that I see it as an opportunity to encourage diversity and broaden the opportunities of persons with disabilities in science by serving as a role model for others that may be facing challenge

and I would be in a position to teach them. Over the past few years of undergraduate and graduate school I have been a tutor for organic chemistry and calculus II, a teaching assistant for an undergraduate organic chemistry laboratory for two years, as well as a mentor for an REU student (research experience for undergraduates) from Puerto Rico. I enjoy working with and helping students learn. As an academic, not only would I have the opportunity to teach students from a classroom environment but also from a research standpoint, like the position I was in with the REU student. Due to the synthetic nature and possible health implications of the proposed research training, this will enable hands-on experience with a variety of chemical transformations and a broader background that would not necessarily be attained with methods-based or mechanistic research. I think a broader background is important for a career in academia as in enables you to personally relate your experiences and guide students with interests in different areas of chemistry, its interface with biology, and their role in improving public wellbeing.

Activities Planned Under This Award

All course work and teaching requirements of the chemistry PhD program at the University of Pennsylvania have been fulfilled during my first year of graduate study. Also, all cumulative examinations have been successfully completed. Therefore under this award, time will be allocated as follows:

Year one: 100% research Year two: 100% research Year three: 100% research

Research Experience

My research experience has covered a variety of disciplines in chemistry from crystal engineering and metal directed self-assembly as an undergraduate to organic synthesis as a graduate student at the University of Pennsylvania. These research experiences are included below in chronological order.

University of Virginia, Undergraduate Research 2006. In the summer of 2006 I participated in a Chemistry REU program (Research Experience for Undergraduates) at the University of Virginia. During my ten week tenure, I worked for Dr. Michal Sabat in his molecular structures laboratory where I investigated the crystal engineering of propargylic alcohols. Our goal was to form higher order supramolecular systems in the solid-state that are able to entrap gas or solvent molecules; specifically we were interested in trapping and storing hydrogen gas because more effective hydrogen gas storage would improve the practicality of using environmentally friendly hydrogen gas fueled vehicles; an outcome that would broadly benefit society. The chiral propargylic alcohol 1 has been shown to form cyclic *RSRSRS* hexameric assemblies in the solid-state (Figure 1a). These assemblies are stabilized by a network of hydrogen bonds and π - π stacking interactions and contain pores and channels that trap solvent molecules. My role in the project was to use crystallization and co-crystallization techniques to form novel highly ordered systems that could be tested for gas adsorption. All crystallization experiments were run independently, resulting in a few crystal structures. Collaboration with other researchers was necessary to obtain crystal structures and synthesize compounds.

Another aspect of my project focused on propargylic alcohols containing long alkyl side chains, such as **2** (Figure 1b). These derivatives may be used as gelators and may have applications in chiral discrimination, controlled flow of drugs, etc. For these compounds, I tried to grow single crystals for X-ray diffraction analysis and also used diffusion ordered NMR spectroscopy (DOSY-NMR) to measure diffusion rates for the study of aggregation in solution. Also, various properties, such as distance external to the surface (d_e; Figure 1c), shape index, and curvedness were plotted on a Hirshfeld surface.² I learned to identify characteristic features of these plots and the **Figure 1**.

two-dimensional fingerprint plots which are derived from the Hirshfeld surface.^{2,3}

Figure 1.

a) OH F
F
F
F
Plot of de on the Hirshfeld sourtees

Gettysburg College, Undergraduate Research 2006-2007. During my senior year at Gettysburg College I utilized a face-directed approach to metal-directed self-assembly of metallocage coordination complexes. Specifically, I was interested in the formation of coordination complexes possessing a cubic architecture by using "naked" square-planar metals and carbazole based dipyridine ligands. Carbazole offers several advantages for use as a constructional subunit, as its photochemical behavior might confer unique properties onto the complex that could be studied and it serves as a platform for generating bidentate "corner" elements where any substituents attached to C3/C6 are oriented at a 90° angle. Ideally when the appropriate metal ion and ligand, possessing a rigid predefined geometry, are combined stoichiometrically the desired architecture will form. This requires metal-ligand bonds that are labile enough to allow for self-correction of "mistakes" as enthalpy and entropy drive the reaction forward. Ligands 6a and 6b were each synthesized via

Suzuki coupling reactions (Scheme 1) and combined stoichiometrically with "naked" palladium(II) to form discrete coordination complexes in solution. These are likely cubic cages with metal ions occupying the faces and right-angle ligands spanning the edges of the cube (7). Complex

formation was demonstrated in solution (DMSO-d₆) via ¹H NMR. Evidence for complexation included the presence of a single species with high symmetry, as would be expected for the formation of cubic cages, downfield shifting of the α -py and β -py protons ($\Delta\delta_{\alpha$ -py= 0.75 and $\Delta\delta_{\beta$ -py= 0.50), indicative of complexation with the metal ion, and moderately broad peaks suggesting that a large supramolecular complex had formed. The self-assembly of discrete two- and three-dimensional complexes, such as cage **7**, is significant to host-guest chemistry and materials science. These complexes have applications in reaction catalysis, chiral resolution, molecular recognition and guest binding/encapsulation, which is beneficial as it may, for example, inspire development of new chemical sensors, switches, or gas storage materials.

University of Pennsylvania, Graduate Research 2008-present. At the University of Pennsylvania, I have been synthesizing axially chiral bisnaphthoquinones, which are potentially useful BINOL derivatives (8) for asymmetric catalysis with possible redox properties. The chiral bisnaphthoquinones are also versatile intermediates for accessing the bisanthraquinone natural products, such as those shown in Figure 2 (9-13).

No stereoselective syntheses have been reported and an efficient synthesis of these biaryls would be beneficial as skyrin (9), for example, has been shown to suppress growth of tumor cell lines.⁴

Much of the research thus far has been carried out on a simpler system, without substitution on C4 (Scheme 2). This simpler system serves as both a model for the bisanthraquinones **9-13**, as well as the means for generating unique BINOL analogs. Working with racemic substrates initially, compound **15a** can be synthesized in only three steps. Ideally, deprotection of the methyl ether groups followed by oxidation would produce the desired bisnaphthoquinone intermediate. While oxidation to the bis-*para*-quinone can be achieved in moderate yield (56%), the preceding deprotection of **15a** was found to be inefficient. As such, a different C8 protecting group (benzyl group)

Figure 2.

13 $R^1 = R^2 = R^3 = H$

was chosen. Enantioselective oxidative coupling of this new substrate with **19** is very promising, generating (*S*)-**15b** in 97% ee. With CuCl(OH)TMEDA, coupling to form racemic **15b** also proceeded well (91% yield). Methylation of the C2 phenols, removal of the benzyl groups via hydrogenation and subsequent oxidation with salcomine formed the bis-*para*-naphthoquinone **16**. A regioselective Diels-Alder reaction with diene **17** and subsequent oxidation produces bisanthraquinone **18**.

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